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Attorney's Docket No.: 17100-025002/3323B



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kenneth David Becker et al.

Art Unit : 1646

Serial No. : 10/608,397

Examiner : Unknown

Filed : June 26, 2003

Confirmation No.: 6501

Customer No.: 20985

Title : SINGLE NUCLEOTIDE POLYMORPHISMS AND MUTATIONS OF  
ALPHA-2 MACROGLOBULIN**Mail Stop Amendment**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement and Forms PTO-1449 (1) pages for filing in connection with the above-identified application. Because this Information Disclosure Statement is filed prior to receipt of a first office action on the merits in the above-referenced application, no fee is due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account No. 06-1050, as stated below:



The Commissioner is hereby authorized to charge any fees that may be due in connection with this paper or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

  
Stephanie L. Seidman

Reg. No. 33,779

Attorney Docket No. 17100-025002/3323B

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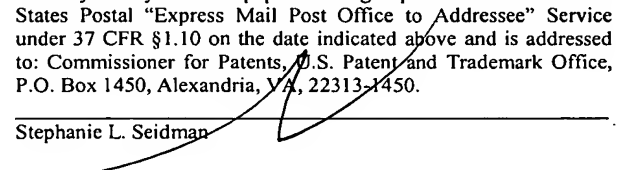
email: seidman@fr.com

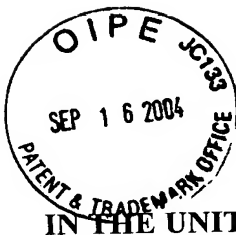
## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number EV 399295329 US

Date of Deposit September 16, 2004

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA, 22313-1450.

  
Stephanie L. Seidman



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MACROGLOBULIN

**MAILSTOP: AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE  
WITH 37 C.F.R. §§1.97-1.98**

Dear Sir:

Because this Supplemental Information Disclosure Statement is filed before the receipt of a First Office Action on the Merits for the above-captioned application, a fee for filing this statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (1 page) is provided herewith in connection with the above-captioned application. In accordance with 37 C.F.R. §1.98(d), copies of the references listed on the Form PTO-1449 and identified with an asterisk **are not provided** herewith as they have been previously provided in connection with U.S. Serial No. 10/292,081, which is relied upon for an earlier filing date in accordance with 35 U.S.C. §120.

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Stephanie L. Seidman

The documents listed on the Form PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed item is necessary.

Since the last Supplemental Information Disclosure Statement was filed on April 21, 2004, no additional co-pending applications need be made known to the Examiner.

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references is effective as prior art against the subject application. In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and make them of record in the file history of the above-captioned application.

\* \* \*

Respectfully submitted,

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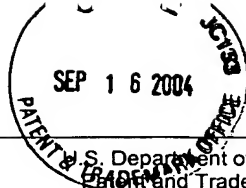
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Substitute Form PTO-1449 (Modified)  <b>List of Patents and Publications for Applicant's Information Disclosure Statement</b>  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17100-025002 / 3323B	Application No. 10/608,397
	Applicant Kenneth David Becker et al.		
	Filing Date June 26, 2003		Group Art Unit 1646

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
*	AA	20030162202	09/11/03	Tanzi et al.	435	6	10/25/02
*	AB	20030170678	12/04/03	Becker et al.	435	6	10/25/02
*	AC	20030224380	01/15/04	Roos et al.	435	7.2	01/13/03
*	AD	20040009537	04/08/04	Becker et al.	435	6	06/26/03

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
*	AE	03051174	06/26/03	PCT				
*	AF	03054143	07/03/02	PCT				

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
*	AG	Matthijs et al. "Structure of the human alpha-2macroglobulin gene and its promoter," <i>Biochemical and Biophysical Research Communications</i> . 184(2): 596-603 (1992)
*	AH	Saunders AJ et al., "Genetic association of Alzheimer's disease with multiple polymorphisms in alpha-2-macroglobulin," <i>Hum Mol Genet</i> . 12(21):2765-76 (2003)
*	AI	Saunders AJ et al., "Welcome to the complex disease world. Alpha2-macroglobulin and Alzheimer's disease," <i>Exp Neurol</i> . 184(1):50-3 (2003)

Examiner Signature	Date Considered
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	